

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Arthur H. Konwinski

Examiner:

Serial No.:

10/521,145

Art Unit:

I. A. Filing Date:

October 17, 2003

Title:

FOOD COATING COMPOSITION AND METHOD OF MAKING

SAME

"Express Mail" Label No. <u>EV 042959371 US</u> Date of Deposit <u>August 15, 2005</u>

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Typed Name: Sheri West

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371 IN THE US DESIGNATED/ELECTED OFFICE (DO/EO/US)

Dear Sir:

In response to the Notification of Missing Requirements Under 35 USC 371 in the US Designated/Elected Office (DO/EO/US) in the matter of the above-identified U.S. patent application Serial No. 10/521,145, Applicant encloses herewith the following documents:

Response

Serial No.: 10/521,145

August 15, 2005

Fee Transmittals for FY 2005 (2);

A copy of the Notification of Missing Requirements Under 35 USC 371 in the US Designated/Elected Office (DO/EO/US);

Declaration and Power of Attorney for Patent Application Signed by Messers Konwinski and Worrall;

Page 2

Petition By Joint Inventor(s) Filing On Behalf of Other Joint Inventor(s) Who Refuse(s) To Join In Application Or Cannot Be Reached 37 CFR 1.47(a));

Statement Of Facts In Support Of Filing On Behalf of NonSigning Inventor (37 CFR 1.47);

Added Page to Combined Declaration And Power Of Attorney For Signature By Joint Inventor(s) On Behalf Of NonSigning Inventor(s) Who Refuse(s) To Sign Or Cannot Be Reached (37 CFR 1.47(a));

Express Mail Receipts and Letters for Correspondence sent to Mr. Katen

Applicant believes that the foregoing comprises a full and complete response to the Notification of record.

If any additional fees are due in connection with the filing of this document, the Commissioner is authorized to charge those fees to our Deposit Account No. 50-0421.

08/23/2005 ATRAN1 00000043 500421 10545767

01 FC:1464

130.00 DA

Respectfully submitted,

Solae, LLC

Date: August 15, 2005

James L. Cordek

Registration No. 31,807

ang 2. Corlab

PO Box 88940 St. Louis, MO 63188 314.982.2409



Attorney's Docket No. SP-1307 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Arthur H. Konwinski, et al.

Serial No.

10/521,145

I. A. Filing Date

07/22/2003

Art Unit

For

Food Coating Composition and Method of Making Same

MAIL STOP MISSING PARTS **COMMISSIONER FOR PATENTS** PO BOX 1450 **ALEXANDRIA, VA 22313-1450**

EXPRESS MAIL CERTIFICATE

Express Mail" Label Number: EV 042959371 US

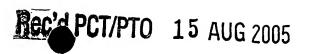
Date of Deposit:

August 15, 2005

I hereby certify that the attached: Transmittal Form (1 page); Fee Transmittals for FY 2005 (1 page in duplicate); Notification of Missing Requirements Under 35 USC 371 in the US Designated/Elected Office (DO/EO/US) (2 pages); Declaration and Power of Attorney for Patent Application Signed by Messers Konwinski and Worrall (4 pages); Petition By Joint Inventor(s) Filing On Behalf of Other Joint Inventor(s) Who Refuse(s) To Join In Application Or Cannot Be Reached 37 CFR 1.47(a)) (2 pages); Statement Of Facts In Support Of Filing On Behalf of NonSigning Inventor (37 CFR 1.47) (8 pages); Added Page to Combined Declaration And Power Of Attorney For Signature By Joint Inventor(s) On Behalf Of NonSigning Inventor(s) Who Refuse(s) To Sign Or Cannot Be Reached (37 CFR 1.47(a)) (1 page); copy of Express Mail Receipts and Letters for Correspondence sent to Mr. Katen on July 19, 2005 and August 5, 2005 (4 pages); and Return Receipt Postcard are being deposited with the United States Postal Service as "Express Mail" in an envelope addressed to:

MAIL STOP MISSING PARTS COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

Dated: 8/15/05



PTO/SB/21 (08-03) EV 042959371 US

United the Pape	er Reduction Act of 1995, no persons	U.S. Patens are required to respond to a collection	t and Tradem	ved for use through 07/31/2006. OMB 0651-0031 lark Office; U.S. DEPARTMENT OF COMMERCE ion unless it displays a valid OMB control number.					
& TRAUC		Application Number	10/521,14						
TRANSMITTAL		Filing Date	July 22, 2003						
FORM		First Named Inventor	Aruthur H. Konwinski.						
(to be used for all correspondence after initial filing)		Art Unit							
		Examiner Name							
Total Number of Pages in This Submission		Attorney Docket Number	SP-1307 PCT US						
	ENCLOSURES (check all that apply)								
X Fee Trans	mittal Form	Drawing(s)		After Allowance communication to Group					
Fee	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences					
Amendme	ent / Reply	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Petition to Convert to a Provisional Application	Proprietary Information						
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Ac	Idress	Status Letter					
Extension of Time Request		Terminal Disclaimer		X Other Enclosure(s) (please identify below):					
Express Abandonment Request		Request for Refund	P In In	added Page to Combined Declaration and Jower of Attorney for Signature by Joint Inventor(s) on Behalf of Nonsigning Inventor(s) Who Refuse(s) to Sign or Cannot e Reached (37 CFR 1.47(a))					
Information	n Disclosure Statement	CD, Number of CD(s)	_						
Certified Copy of Priority Document(s)		marks							
Response to Missing Parts/ Incomplete Application									
Response to Missing Parts under 37 CFR 1.52 or 1.53									
	SIGNATUR	E OF APPLICANT, ATTORN	IEY, OR A	GENT					
Firm or	JAMES L. CORDEK, 31,807								
Individual name									
Signature James 2. Coulek Date V15 Aug. 2005									
Date VIS Aug. 2005									
CERTIFICATE OF TRANSMISSION/MAILING									
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.P. Box 1450, Alexandria, VA 22313-1450 on the date shown below.									
Type or printed name Sheri West									
Signature Legi Ulus				Date 8/15/05					
				/ /					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

AUG 1 5 2005 E



PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0551-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

aperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** 10/521,145 TRANSMIT Filing Date 7/22/2003 For FY 2005 First Named Inventor Arthur H. Konwinski **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 260.00 Attorney Docket No. **SP-1307 PCT US** METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): ✓ Deposit Account Deposit Account Number: <u>50-0421</u> Deposit Account Name: Solae, LLC For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) ✓ Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES** Small Entity **Small Entity** Small Entity **Application Type** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 150 160 80 300 600 Reissue 150 500 250 300 Provisional 200 0 100 0 0 2. EXCESS CLAIM FEES Small Entity Fee (\$) Fee Description Fee (\$) Each claim over 20 (including Reissues) 08/22/2005 ATRAN12000000164 5例421 10521145 Each independent claim over 3 (including Reissues) Multiple dependent claims 3690.00 DA 01 FC:1617 Total Claims Fee Paid (\$ Multible Dependent Claims **Extra Claims** Fee (\$) Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Extra Sheets (round up to a whole number) x 4. OTHER FEE(S) (1) Notification of Missing Requirements Under 35 U.S.C. 371... and Fees Paid (\$) \$130.00 (2) Petition by Joint Inventors Filing on Behalf of Other Joint Inventors 37 C.F.R. 1.47(a) \$130.00

SUBMITTED BY				
Signature	James :	J. Corleh	Registration No. (Attorney/Agent) 31,807	Telephone 314.982.2409
Name (Print/Type)	James L. Cord	lek		Date 15 dux. 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/521,145

Charles T Worrall

SP-1307-PCT US

INTERNATIONAL APPLICATION NO.

PCT/US03/22844

I.A. FILING DATE

PRIORITY DATE

07/22/2003

07/22/2002

James L Cordek P O Box 88940 St Louis, MO 63188

> **CONFIRMATION NO. 2780 371 FORMALITIES LETTER** *OC000000016280492*

OC000000016280492

Date Mailed: 06/16/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/13/2005
- Copy of the International Search Report filed on 01/13/2005
- Copy of IPE Report filed on 01/13/2005
- Preliminary Amendments filed on 01/13/2005
- Oath or Declaration filed on 01/13/2005
- Request for Immediate Examination filed on 01/13/2005
- U.S. Basic National Fees filed on 01/13/2005
- Priority Documents filed on 01/13/2005
- Power of Attorney filed on 01/13/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

d PCT/PTO 15 AUG 2005

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/521,145	PCT/US03/22844	SP-1307-PCT US

FORM PCT/DO/EO/905 (371 Formalities Notice)